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Regulatory Actions (see more ...)

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[Proposed Rule: S7-31-99](#)

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February 2, 2004 Jonathan G. Katz, Secretary Securities & Exchange Commission 450 Fifth Street, N.W. Washington, D.C. 20549-0609 Dear Mr. Katz: I am writing in response to the Commission's request
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[SEC Interpretation: Short Sales](#)

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... mechanism for executing large **block** trades, Thomson ... bid and offer, a **trader** at Harborside+'s broker/dealer - Harborside **Securities** - then phones ...
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